



COPY OF PAPERS  
ORIGINALLY FILED

2100 03CC  
Attorney Docket No. YOR920010748US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application

Applicant(s): Genady Grabarnik et al.  
Docket No.: YOR920010748US1  
Serial No.: 09/976,543  
Filing Date: October 12, 2001  
Group: 2151  
Examiner: Unassigned

I hereby certify that this paper is being deposited on this date with the U.S. Postal Service as first class mail addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature: John R. Ryan Date: February 22, 2002

Title: Systems and Methods for Validation, Completion and Construction of Event Relationship Networks

RESPONSE TO NOTICE OF INCOMPLETE REPLY

Assistant Commissioner for Patents  
Washington, D.C. 20231

RECEIVED  
MAR 27 2002  
Technology Center 2100

Sir:

In response to a Notice of Incomplete Reply (Nonprovisional) dated February 15, 2002 requesting formal drawings, Applicants respectfully point out that formal drawings were filed on January 10, 2002 with the Transmittal of Missing Parts. In fact, Applicants received a return postcard stamped with the date of receipt of the formal drawings by the USPTO. Accordingly, the Transmittal of Missing Parts dated January 10, 2002, Transmittal of Formal Drawings, 7 sheets of formal drawings, and a postcard with stamped confirmation from the USPTO acknowledging receipt of the above-referenced documents are enclosed.

We would appreciate it if you would record receipt by the USPTO of the formal drawings as of January 10, 2002. Please call the undersigned attorney at (516) 759-2946 to confirm that this has been done. Please let us know if you require any additional information.

It is believed that there is no fee due in conjunction with the filing of this Response to Notice of Incomplete Reply. In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **International Business Machines Corporation Deposit Account No. 50-0510** as required to correct the error.

Respectfully submitted,

William E. Lewis

Date: February 22, 2002

William E. Lewis  
Attorney for Applicant(s)  
Reg. No. 39,274  
Ryan, Mason & Lewis, LLP  
90 Forest Avenue  
Locust Valley, NY 11560  
(516) 759-2946

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**EV033867456US**

**Patent Application**

Applicant(s): Genady Grabarnik et al.  
Docket No.: YOR920010748US1  
Serial No.: 09/976,543  
Filing Date: October 12, 2001  
Group: 2151  
Examiner: Unassigned

"Express Mail" Label No. EV033867456US

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: January 10, 2002

Signature: 

Title: Systems and Methods for Validation, Completion and Construction of Event Relationship Networks

**COPY**

TRANSMITTAL OF MISSING PARTS

Assistant Commissioner for Patents  
Washington, D.C. 20231  
Box Missing Parts

**RECEIVED**

**MAR 27 2002**

Technology Center 2100

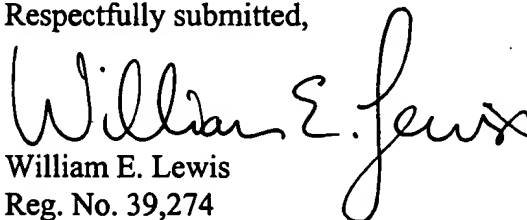
Sir:

In response to the Notice to File Missing Parts dated November 14, 2001, Applicants submit herewith the following documents to complete the above-referenced patent application:

- (1) Declaration and Power of Attorney;
- (2) Assignment with Recordation Form Cover Sheet;
- (3) Transmittal of Formal Drawings; and
- (4) Formal Drawings (7 sheets).

A copy of the Notice is enclosed herewith. Please charge **International Business Machines Corporation Deposit Account No. 50-0510** the amount of \$130, to cover the surcharge under 37 CFR §1.16(e). In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **International Business Machines Corporation Deposit Account No. 50-0510** as required to correct the error. A duplicate copy of this letter is enclosed.

Respectfully submitted,



William E. Lewis  
Reg. No. 39,274

Attorney for Applicant(s)  
Ryan, Mason & Lewis, LLP  
90 Forest Avenue  
Locust Valley, New York 11560  
(516) 759-2946

Date: January 10, 2002

**COPY**

COPY OF PAPERS  
ORIGINAL FILED

Attorney Docket No. YOR920010748US1



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Patent Application**

Applicant(s): Genady Grabarnik et al.  
Docket No.: YOR920010748US1  
Serial No.: 09/976,543  
Filing Date: October 12, 2001  
Group: 2151  
Examiner: Unassigned

"Express Mail" Label No. EV033867456US

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: January 10, 2002

Signature: 

Title: Systems and Methods for Validation, Completion and Construction of Event Relationship Networks

TRANSMITTAL OF FORMAL DRAWINGS

Assistant Commissioner for Patents  
Washington, D.C. 20231

Attention: Official Draftsperson

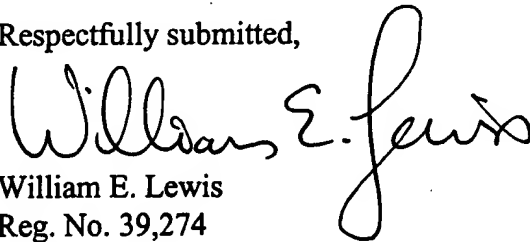
Sir:

In response to the Notice to File Missing Parts dated November 14, 2001, Applicants submit herewith seven (7) sheets of formal drawings to complete the above-referenced patent application.

A copy of the Notice is enclosed herewith. In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **International Business Machines Corporation Deposit Account No. 50-0510** as required to correct the error.

Date: January 10, 2002

Respectfully submitted,



William E. Lewis  
Reg. No. 39,274  
Attorney for Applicant(s)  
Ryan, Mason & Lewis, LLP  
90 Forest Avenue  
Locust Valley, New York 11560  
(516) 759-2946

**RECEIVED**

**MAR 27 2002**

**Technology Center 2100**

COPY OF PAPER  
ORIGINALLY FILED

## UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov


APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/976,543	10/12/2001	Genady Grabarnik	YOR920010748US1

 Ryan, Mason & Lewis, LLP  
 90 Forest Avenue  
 Locust Valley, NY 11560

RECEIVED

MAR 27 2002

Technology Center 2100

CONFIRMATION NO. 1486

FORMALITIES LETTER



\*OC000000007488388\*

Date Mailed: 02/15/2002

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

## Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 01/10/2002 to the Notice to File Missing Parts (Notice) mailed 11/14/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*


 Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

COPY OF PAPER  
ORIGINALLY FILED

**COPY**



Receipt in the USPTO is hereby acknowledged of:

Transmittal of Missing Parts - (Orig. & 1 copy)  
Assignment - 2 pages  
Assignment Recordation Form Cover Sheet - (Orig. & 1 copy)  
Declaration and Power of Attorney - 2 pages  
Transmittal of Formal Drawings - 1 page  
Formal Drawings - 7 sheets  
Copy of Notice to File Missing Parts

January 10, 2002  
YOR920010748US1  
Serial No. 09/976,543  
1500-283



**RECEIVED**

MAR 27 2002  
Technology Center 2100